

06-01-01

A

REV. 04/01  
For A Small Entity

Docket No. NOVA-14

Applicant(s) : Yu-Hwa Lo et al.

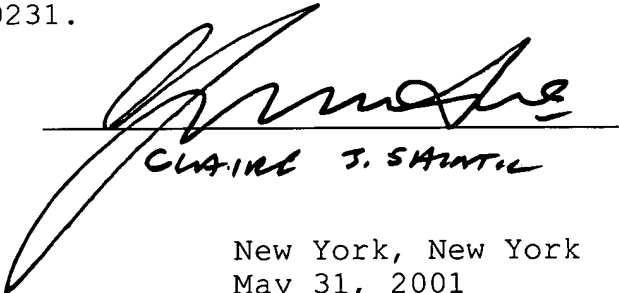
For : SURFACE-EMITTING LASER DEVICES WITH  
INTEGRATED BEAM-SHAPING OPTICS AND  
POWER-MONITORING DETECTORS

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EK170572970US.

Date of Deposit May 31, 2001.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Hon. Commissioner for Patents, Washington, D.C. 20231.

  
CLAIRE J. SHANTIL

Hon. Commissioner  
for Patents  
Washington, D.C. 20231

New York, New York  
May 31, 2001

TRANSMITTAL LETTER FOR UNEXECUTED  
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the  
[X] specification; [X] claims; [X] abstract; [X] unexecuted  
declaration, for the above-identified patent application.

Also transmitted herewith are:

[ ] 6 (six) sheets of:

[ ] Formal drawings.

jc887 U.S. PTO  
05/31/01

jc978 U.S. PTO  
09/871229  
05/31/01

09871229 053101

☒ Informal drawings. Formal drawings will be filed during the pendency of this application.

☐ Certified copy(ies) of application(s)

\_\_\_\_\_  
(country) (appln. no.) (filed)

\_\_\_\_\_  
(country) (appln. no.) (filed)

\_\_\_\_\_  
(country) (appln. no.) (filed)

from which priority is claimed.

☐ An assignment of the invention to \_\_\_\_\_

☐ A check in the amount of \$40.00 to cover the recording fee.

☐ Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

☐ An associate power of attorney.

☒ Small Entity Status is respectfully requested.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE				\$355.00
TOTAL CLAIMS	45	- 20 = 25	X \$ 9 =	\$225.00
INDEPENDENT CLAIMS	8	- 3 = 5	X \$40 =	\$200.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS				+ \$135 = \$

TOTAL \$780.00

[X] A check in the amount of \$780.00 in payment of the filing fee is transmitted herewith.

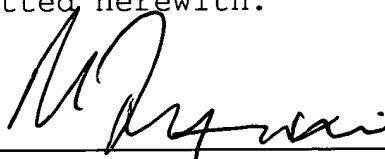
[ ] This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] Amend the specification by inserting before the first line the sentence: -- This is a [ ] continuation-in-part, of application No.: \_\_\_\_\_, filed

entitled \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

[ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.



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